



**ACCOUNT OPENING FORM**

**NOTE : Please fill this form in English and in BLOCK LETTERS (all applicable information in the form is mandatory).**

**1. BUSINESS & CONTACT PERSON INFORMATION**

Primary Contact Name :			
Title :			
Legal Company Name :			
Trade License No:			
Date & Place of Incorporation:		Legal Form (e.g. LLC, DMCC, LTD etc.)	
Phone :	Fax :	E-mail :	
Official Website (if applicable) :			
Registered Company Address (Please provide complete information to physically locate your office. Format: Office No. Floor No. Building No. Street, City P.O Box)			
City :		State :	
Country :			
Date of Business Commenced :			
Sole Proprietorship:	Partnership :	Corporation :	Other:
List of Managers / Officer Names			
1		4	
2		5	
3		6	
List of Owners / Partners			
1		4	
2		5	
3		6	
<p><b>NOTE: WE REQUIRE PHOTO IDS (DRIVERS LICENSE OR PASSPORT) FOR THE PRINCIPAL / BENEFICIAL OWNERS OF THE BUSINESS. THESE MUST BE LEGIBLE COPIES OR SCANS. DARK OR OVERLY EXPOSED MAY DELAY YOUR ACCOUNT SET-UP.</b></p>			
*** HAVE YOU ATTACHED YOUR IDS ?	YES <input type="checkbox"/>	NO <input type="checkbox"/>	

**2. BUSINESS INFORMATION**

Primary Business Activity :			
Scrap Collector :	<input type="checkbox"/>	Jeweler :	<input type="checkbox"/>
Industrial :	<input type="checkbox"/>	Other :	
Approximate no of employees :			
Material type being traded (e.g. melted bars , coins , loose jewellery)			
Country of Origin of Precious Metals delivered to us? (Please provide specific country (ies) <b>MANDATORY</b> ):			
How long have you been in business?			
Do you have an AML / CFT policy in place?			
Do you accept material directly from mines?			
Do any of your materials originate from the Democratic Republic of Congo ?		<input type="checkbox"/> YES	<input type="checkbox"/> NO



### 3. PROPOSED BUSINESS DETAILS WITH SAM PRECIOUS METALS

Please put check mark (✓) for the appropriate service/s that you will require from SAM Precious Metals:

Buying and Selling			
Refining Services			
Smelting Services			
Assaying Services			
Minting Services			
Diamond & Gold Separation Services			

### 4. BANK INFORMATION

Bank Name:			
Bank Address :			
City :	State :	Zip Code:	
IBAN/ACCOUNT No :	Swift Code:		
Exact name as it appears on the account :			

### 5. BUSINESS TRADE REFRENCES (MINIMUM 2 REQUIRED)

1 ) Company Name :			
Address :			
City :	State :	Zip / Postal Code:	
Phone :	Fax :	E-mail :	
2 ) Company Name :			
Address :			
City :	State :	Zip / Postal Code:	
Phone :	Fax :	E-mail :	

### 6. DOCUMENTS REQUIRED AND CHECK LIST:

SR.NO	PROOF	CHECK LIST
1	Certificate of Incorporation	<input type="checkbox"/>
2	Memorandum of Association	<input type="checkbox"/>
3	Trade License	<input type="checkbox"/>
4	Full details of beneficial owners if not mentioned in M & A's	<input type="checkbox"/>
5	List of Directors if not mentioned in M & A's	<input type="checkbox"/>
6	List of Authorized Traders (with their specimen signature and passport copies)	<input type="checkbox"/>
7	Certified Copy of Utility bill with home address for all directors	<input type="checkbox"/>
8	Passport Copy of Directors	<input type="checkbox"/>
9	Registered address of the Company	<input type="checkbox"/>
10	Latest Financial Audits	<input type="checkbox"/>



**UNDERTAKINGS:**

I/We hereby undertake that the above information is true and correct.

I/We hereby acknowledge that we received from Sam Precious Metals its Supply Chain Policy and the guidance listed below and we undertake to review it thoroughly and to comply with its provisions.

1. OECD Due Diligence Guidance for Responsible Supply Chains of Minerals from Conflict-Affected and High-Risk Areas – Supplement in Gold
2. DMCC Rules for Risk Based Due Diligence in the Gold and Precious Metal Supply Chain

We confirm that we are observing and complying with domestic and international laws, rules and regulations, including those governing the illicit trade in precious metals and the United Nation Security Council (UNSC) Sanctions. Also, we hereby undertake that our sources of precious metals are free from conflict financing, criminal funding, worst forms of child labor and human rights abuses.

Name of Authorized Signatory: \_\_\_\_\_

Title/Designation: \_\_\_\_\_

Signature: \_\_\_\_\_

Date: \_\_\_\_\_

COMPANY STAMP

**For SAM PRECIOUS METALS use only:**

Name of Authorized Signatory: \_\_\_\_\_

Title/Designation: \_\_\_\_\_

Signature: \_\_\_\_\_

Date: \_\_\_\_\_

ACCOUNT CODE: \_\_\_\_\_

Opening Date: \_\_\_\_\_

